



Replicable designs for Thermally Comfortable Affordable housing

First stakeholder meeting | **11 April 2022**

Knowledge Partners:



Ashok B Lall Architects



LEAD Consultancy



Greentech Knowledge Solutions

PROJECT OVERVIEW

SESSION I

Overview of existing design and construction practices to identify gaps in achieving optimal Thermal comfort

- Objectives and deliverables
- Survey dataset
- Overview & inferences of General trends
- Gap analysis methodology
- Inferences

SESSION II

Framework for development of type designs

- Categorization of residential buildings for Type designs
- Type design matrix
- Principles for planning and passive design for thermally comfortable affordable housing
- Passive strategies to be adopted in different climate zones

SESSION III

Type design overview of Thermal Performance and Carbon Footprint of Construction

- **Key indicators:** Thermal Performance and carbon footprint of construction
- **Thermal performance :** *Methodology for simulation*
- **Thermal Performance variants** –DU location, orientation and walling/roofing material
- **Embodied Energy Intensity (EEI)** and carbon footprint of construction

GOIs flagship program under implementation since 2015 to provide **'Housing for all' by 2022**

Provides **Central Assistance to implementing agencies through States and Union Territories** for providing houses to all eligible families/beneficiaries by 2022.

The Mission **will be implemented through four verticals** (as shown in the image)

Urban
More than 12 million
houses

are being constructed within the
Mission period

"In situ" Slum
Redevelopment

Affordable Housing
through Credit Linked
Subsidy

Affordable Housing in
Partnership

Subsidy for
Beneficiary-Led
Individual house
construction or
enhancement

**Multi-family
homes in
cities**

**Single-family
homes on
independent
plots**

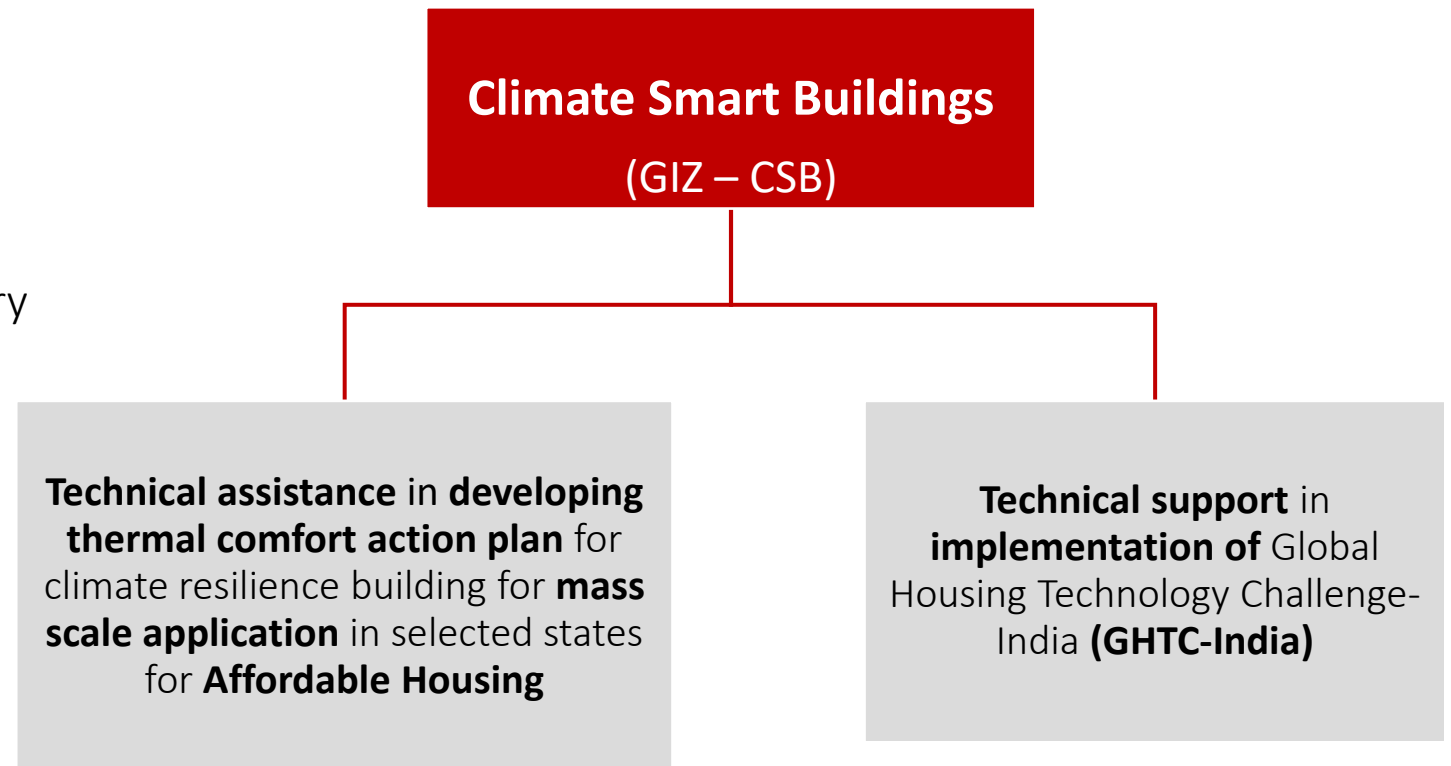
- Homes built today will last at least **50-60 years**
- They will **impact resource usage during their life span.**
- The **design and construction** of these homes will have an impact on the **level of comfort** that these dwellings provide to its occupants, thus impacting their **energy use and costs to achieve environmental comfort** and **associated carbon emissions** over their lifetime.
- The **expected increase in cooling needs** due to higher aspirations arising from enhanced access to housing will also **significantly increase the projected electricity demand.**
- With **climate change temperature rise compounded by increasing UHI** in urban areas will also **add to this demand.**

Optimizing thermal comfort by passive design means

Is imperative while designing and building homes affordable housing.

GIZ has supported GOI for :

- The development of Eco-Niwas Samhita
- Labelling mechanism for residential building
- Energy Efficient Building Material Directory
- Replicable designs for energy efficient residential buildings
- Smart Home program.



1.2. Project objective

To **enhance climate resilience and thermal comfort** in buildings by adopting **appropriate passive measures, locally available and low embodied energy materials** coupled with **appropriate available technologies of construction for affordable housing**.

The main objective is **developing a Catalogue of Replicable Design options for Thermally Comfortable Affordable Housing** by **minimizing discomfort hours in homes** through use of passive design measures.

1.3. Project team and roles

TEAM LEAD	Environmentally sustainable and affordable architecture expert,
	ASHOK B LALL ABLA

EXPERTS	Building Performance Analysis Expert	International Expert	BIM Expert
	M SELVARASU LEAD	Dr. RAJAT GUPTA Oxford Brookes University	RAMNEET KAUR ASP Associates

Building Energy Analysis Expert	Building Construction Expert	Building Material Expert	Structural Systems Expert
RATHNASHREE LEAD	RAKESH DAYAL ABLA	PRASHANT BHANWARE GKSPL	KALHAN MITRA PCPL

Architectural Design Expert	Passive Strategies Expert	Webtool designer	Project management
ROOPA NAIR ABLA	SASWATI CHETIA GKSPL	SADDAM HUSSAIN IWL	GAUTAM NAGAR Optimus Energy Consultants

1.4. Our interpretation and approach

Develop a practical solution-set responding to the policy objectives of 'Housing for All', SDGs, and the Climate Change mitigation commitments of the GOI.

Focus on urban house types that are suitable for EWS and LIG categories since 80% of the current unmet need for homes are in these categories

Consider the emergent future of affordable housing while also addressing the present need of affordable housing today.

Develop the replicable design set as a response to the modes of housing provision under PMAY(U) such as cooperative/local authority/institutional group housing, to developer built mass housing, to self-build beneficiary led mode.

Evaluate the designs using a techno-commercial matrix vis-à-vis the SDGs, affordability/economy, Climate mitigation potential considering embodied energy and potential operational energy, and thermal comfort.

Prioritize economy and simplicity of construction while optimizing thermal comfort is to be prioritized.

Introduce potential new materials and innovative methods of construction that enhance comfort and reduce Co2 emissions of construction.

1.5. Key project stakeholders

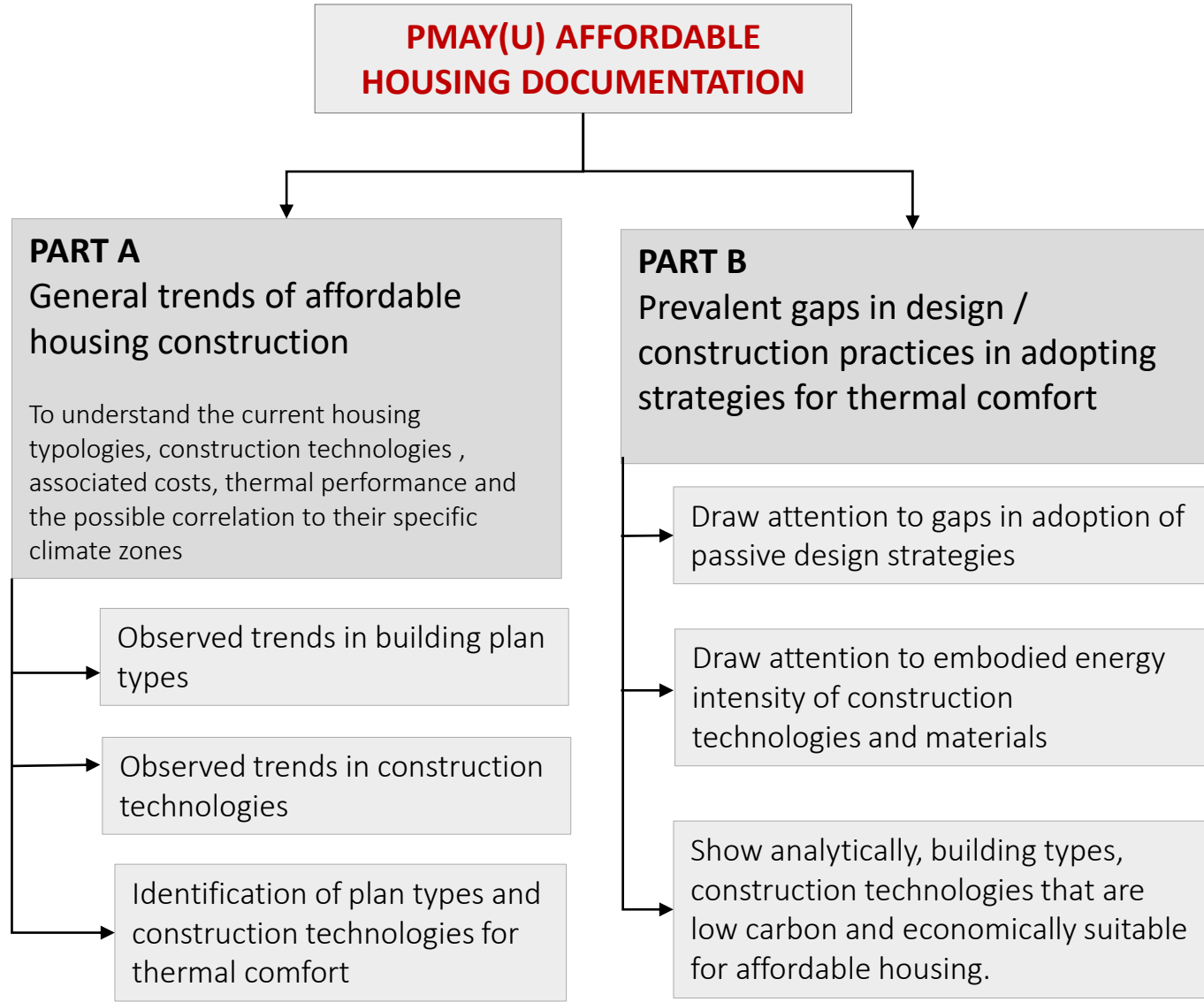
1. Government and quasi-government organizations	2. Independent bodies [Academic researchers, certification bodies]	3. Implementing agencies	4. End users [Professional, architects, builders and home owners]
POTENTIAL VALUE TO STAKEHOLDER : <ul style="list-style-type: none">• Support the fulfillment of the GOI commitments under 'Housing for all 'and the SDG• Technical inputs on developing the thermal comfort action plan for climate resilience building	POTENTIAL VALUE TO STAKEHOLDER : <ul style="list-style-type: none">• Support on the development of innovative technologies and sustainable construction materials through technical inputs.• Provide a standard for thermal comfort in affordable housing.	POTENTIAL VALUE TO STAKEHOLDER: <ul style="list-style-type: none">• Support on effective implementation of affordable housing schemes through a better understanding on 'affordability'.	POTENTIAL VALUE TO STAKEHOLDER: <ul style="list-style-type: none">• Access to the replicable design drawing set, BOQ and simulation packages.
INPUT REQUESTED: <ul style="list-style-type: none">• Inputs on assumptions and parameters for the project based on current research and policy	INPUT REQUESTED : <ul style="list-style-type: none">• Inputs on methodologies and design strategies proposed for the projects• Inputs on new materials and technologies based on research	INPUT REQUESTED : <ul style="list-style-type: none">• Inputs on gaps in the present scenario and any foreseen challenges in the implementation of the project outputs	INPUT REQUESTED: <ul style="list-style-type: none">• Inputs on gaps in the present scenario and any foreseen challenges in the interpretation and implementation of the project outputs

SESSION I

Overview of existing design and construction practices to identify gaps in achieving optimal Thermal comfort

OBJECTIVE

Documentation of architectural typology, construction technology and materials used for affordable housing under PMAY-(U)

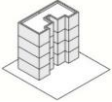
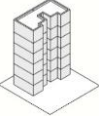
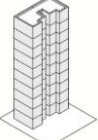
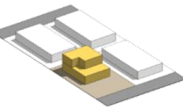
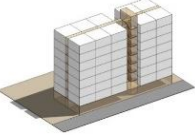
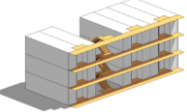
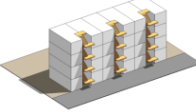
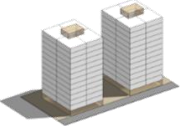







DELIVERABLES







- Report on documentation of typologies of affordable housing projects
- Gap analysis document w.r.t thermal comfort and sustainability

SESSION I : Criteria for project selection for survey -

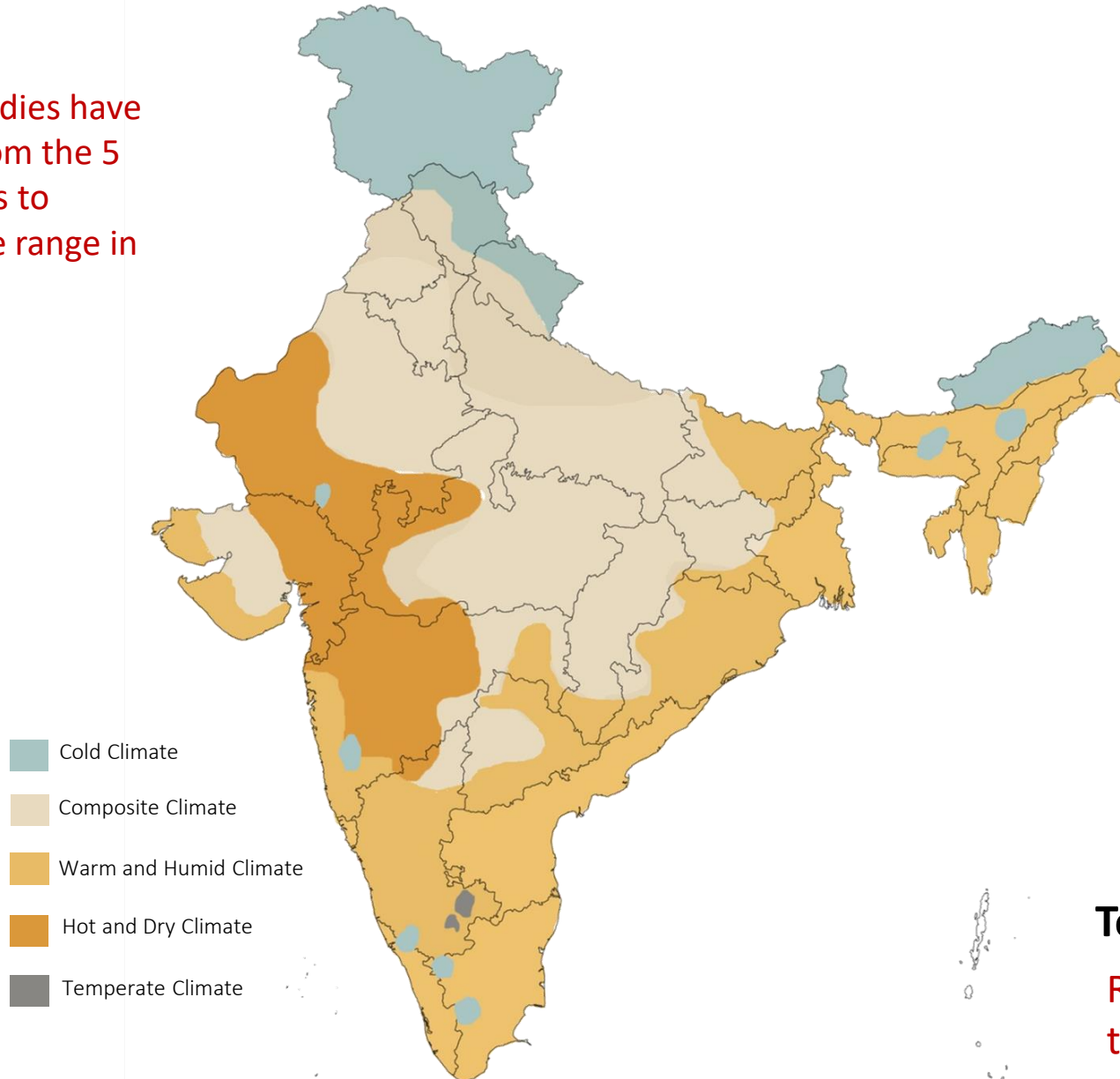
Criteria for project selection to be representative of the sets and categories shown below :

A) PROJECT TYPE	EWS Carpet area equal to and less than 60Sqm	B) CLIMATIC RANGE	Cold	C) BUILDING HEIGHT	Low –rise	Mid - rise	High – rise
	LIG Carpet area equal to and less than 60Sqm		Composite				
			Warm and Humid				
			Hot and Dry				
			Temperate				
D) BUILDING PLAN TYPE RANGE	Plotted	Singly Loaded corridor (SLC)	Doubly Loaded corridor (DLC)	Row House (RH)	Tower Stand Alone (TSA)	Tower Connected (TC)	
							
E) CONSTRUCTION TECHNOLOGY RANGE	RCC Structure with AAC block	RCC Structure with burnt clay / flyash masonry		Monolithic concrete	RCC Structure with concrete blockwork		
							

SESSION I: Lighthouse Projects (LHP)

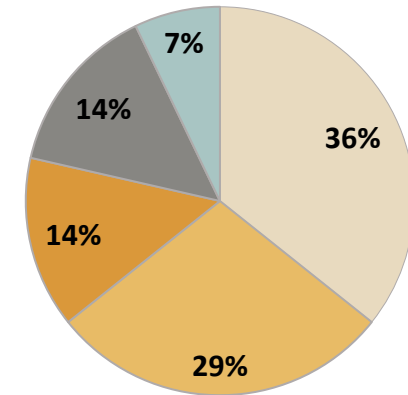
NAME	LHP, Agartala, Tripura	LHP, Chennai, Tamil Nadu	LHP, Indore, Madhya Pradesh	LHP, Lucknow, Uttar Pradesh	LHP, Rajkot, Gujarat	LHP, Ranchi, Jharkhand
						
Building Plan Type	DOUBLY LOADED CORRIDOR	TOWER STAND ALONE	TOWER CONNECTED	DOUBLY LOADED CORRIDOR	TOWER STAND ALONE	DOUBLY LOADED CORRIDOR
Building Height	G+6	G+5	Stilt+8	Stilt+13	Stilt+13	G+8
Construction Technology	Light gauge steel structural system	Pre-cast concrete construction system	Prefabricated sandwich panel system	PVC Stay in place formwork system	Monolithic concrete construction using tunnel formwork	Pre-cast concrete construction system - 3D Volumetric

The survey case studies have been shortlisted from the 5 major climate zones to ensure an adequate range in project types.



Projects surveyed :

Affordable housing schemes	65
Lighthouse Projects	6
	<hr/>
	71

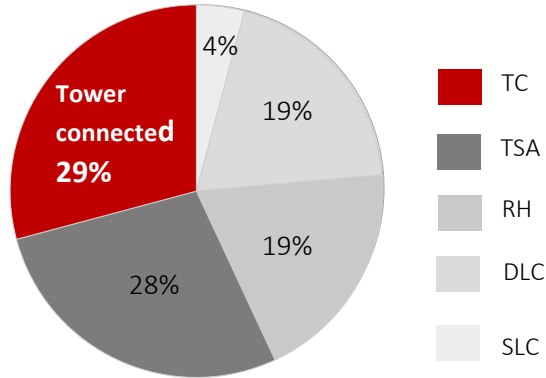


GRAPH 1 : % of affordable housing projects in each climate zone

Total survey case studies : 113 + 6

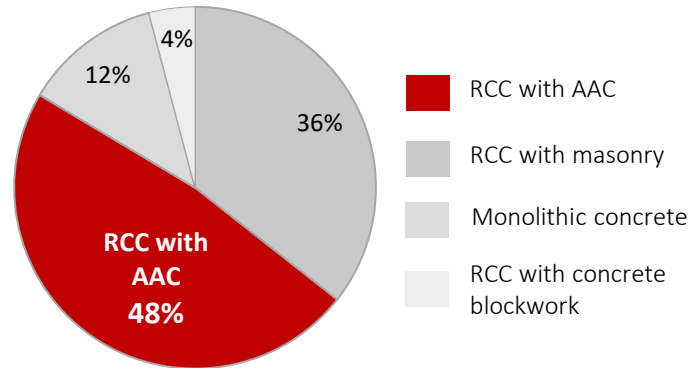
Representing different building plan types and dwelling unit sizes.

1. BUILDING PLAN TYPE



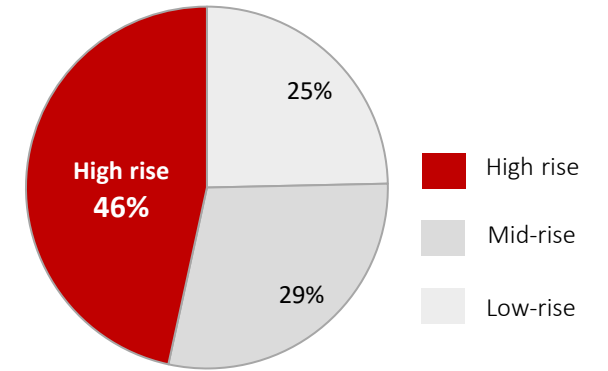
GRAPH 1 : Identified building plan types

2. CONSTRUCTION TECHNOLOGY



GRAPH 2 : Identified construction technologies

3. BUILDING HEIGHTS

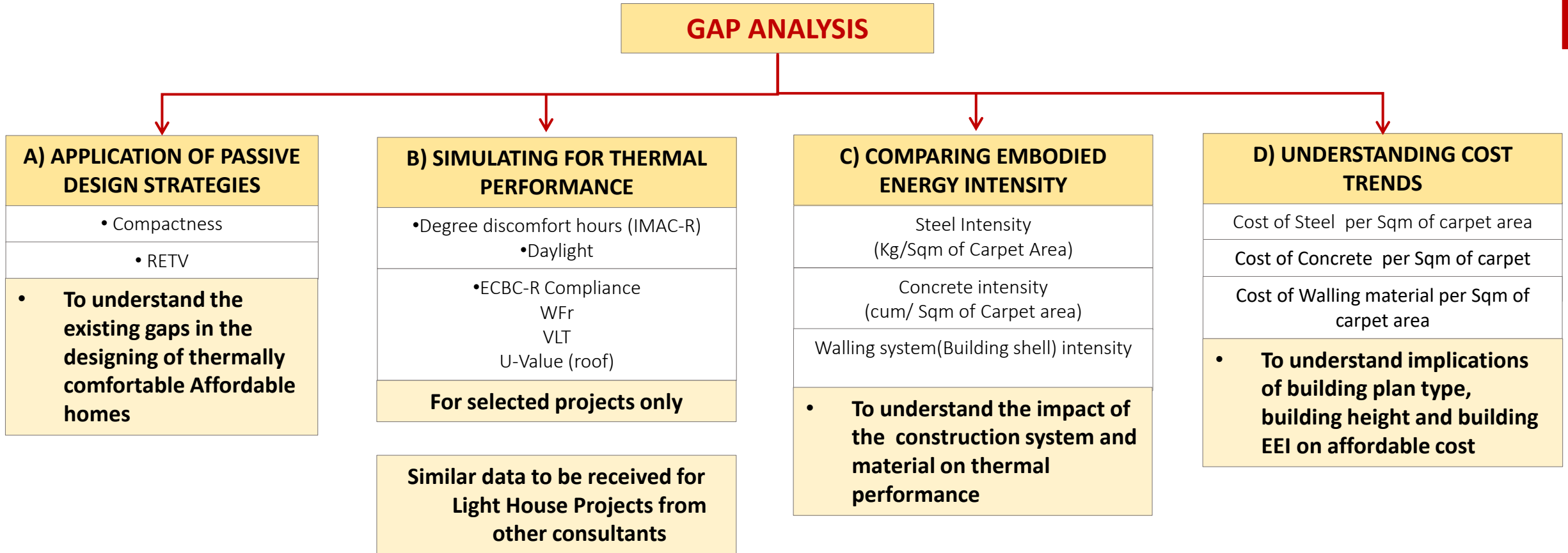


GRAPH 3 : Identified building height range

4. CLIMATE

Singly Loaded Corridor (SLC)					Doubly Loaded Corridor (DLC)					Row House (RH)					Tower Stand Alone (TSA)					Tower Connected (TC)									
C	W&H	H&D	T	CO	C	W&H	H&D	T	CO	C	W&H	H&D	T	CO	C	W&H	H&D	T	CO	C	W&H	H&D	T	CO					
	✓		✓		✓	✓	✓		✓	✓	✓	✓	✓	✓	✓	✓		✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓

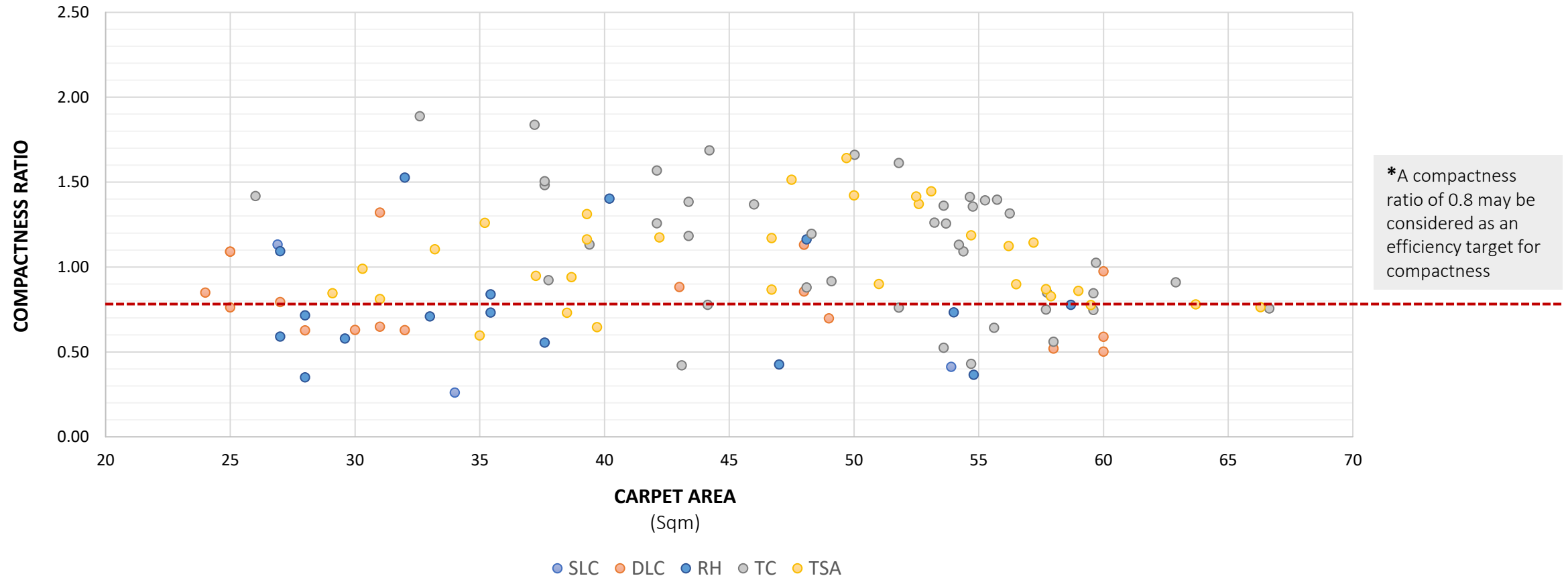
- **No correlation between climate zone and building plan type.** All building plan types are found in every climatic zone.
- General trend indicates **tendency towards high rise typologies**. These are **high on EE intensity and CO2 emissions on account of construction.**
- However, **the adoption of low/mid-rise buildings is also common.** These have lower EE intensity and CO2 emissions on account of construction.
- General trend indicates **increasing adoption of lightweight AAC blocks in RCC frame.** Most preferred for mid and high-rise construction.
- The building plan type, building height and construction materials and technology are **determined primarily by project economics and permissible F.A.R according to development control regulations.**



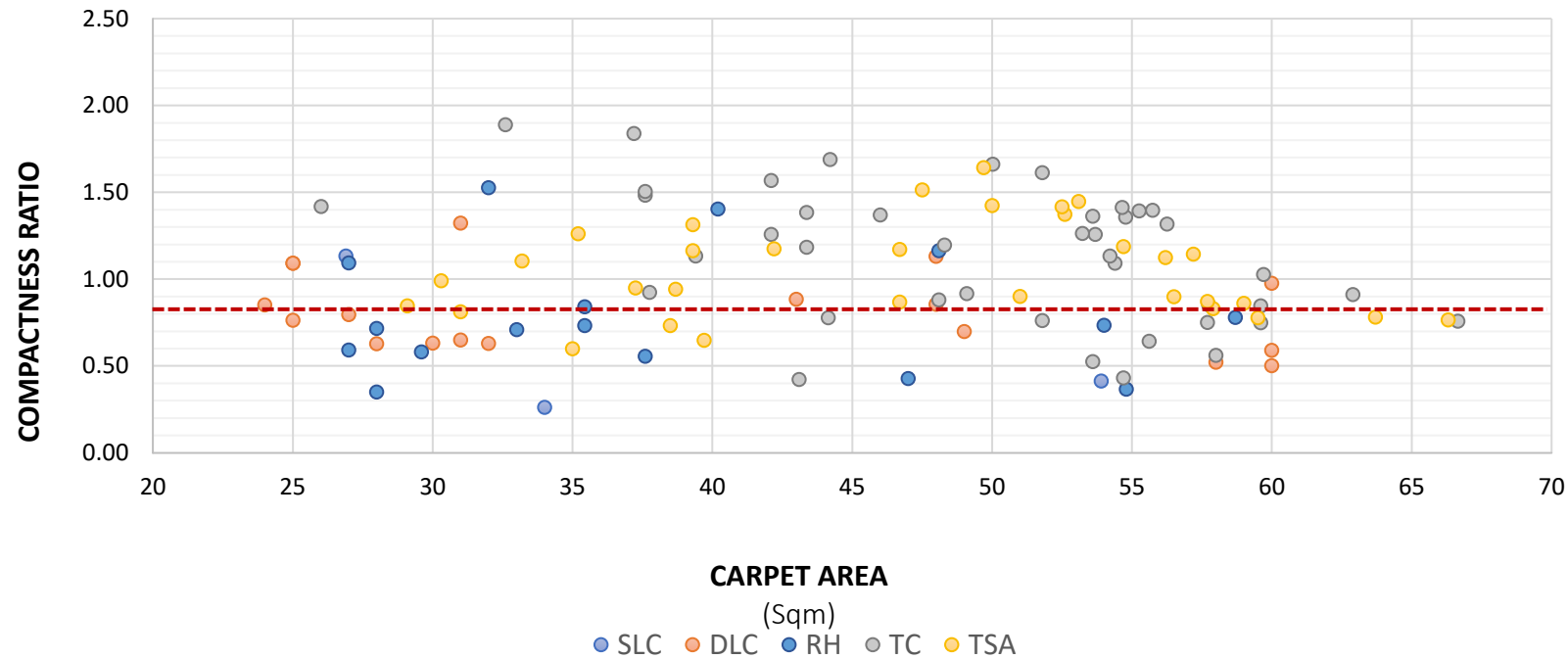
• Compactness of plan is desirable for reduction of heat transfer across the building envelop and for energy conservation

• Compactness is the ratio of the DU external wall area to the DU carpet area

• Higher the value of the ratio, lesser is the compactness of the DU.



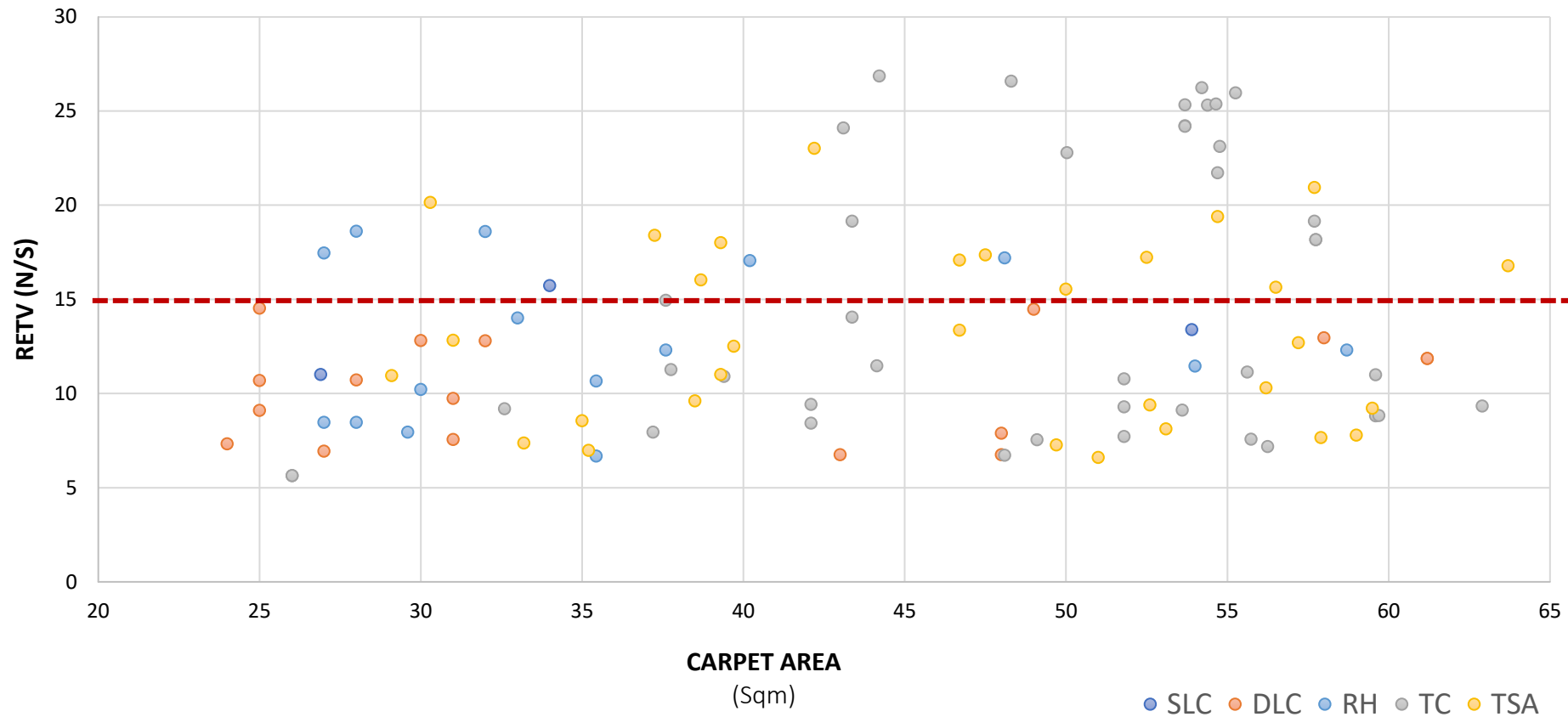
*A compactness ratio of 0.8 may be considered as an efficiency target for compactness



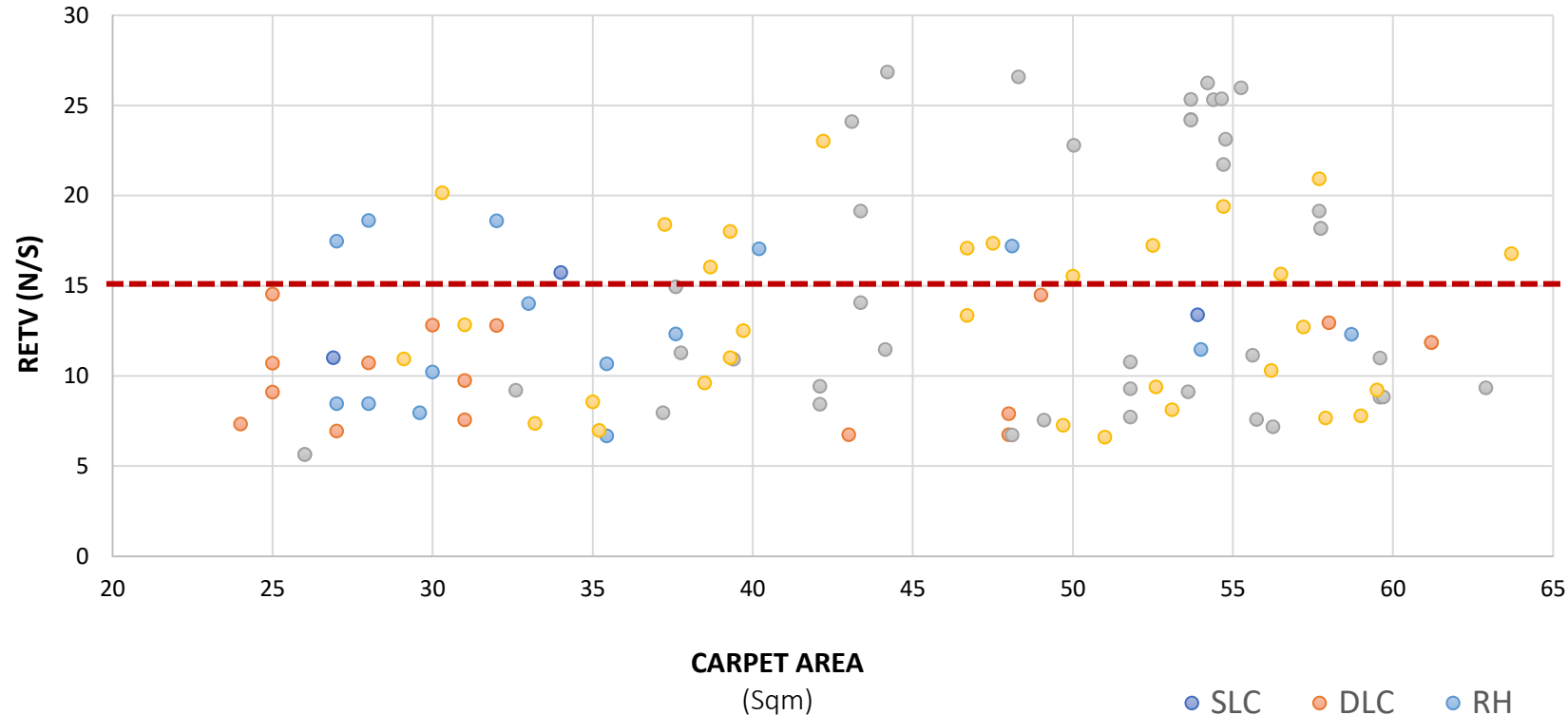
- In **SLC and DLC** building plan types **compactness is achieved** irrespective of DU size.
- In **Tower Connected (TC)** building plan type it is seen that **compactness is poor in small DUs** and **improves for DU size between 50-60Sqm**.
- However, in **Tower Stand Alone (TSA)** it appears that **reasonable compactness** can be achieved at **all DU sizes**.

•RETV (Residential Envelope Transmittance Value) is a measure of heat transfer through the building envelope

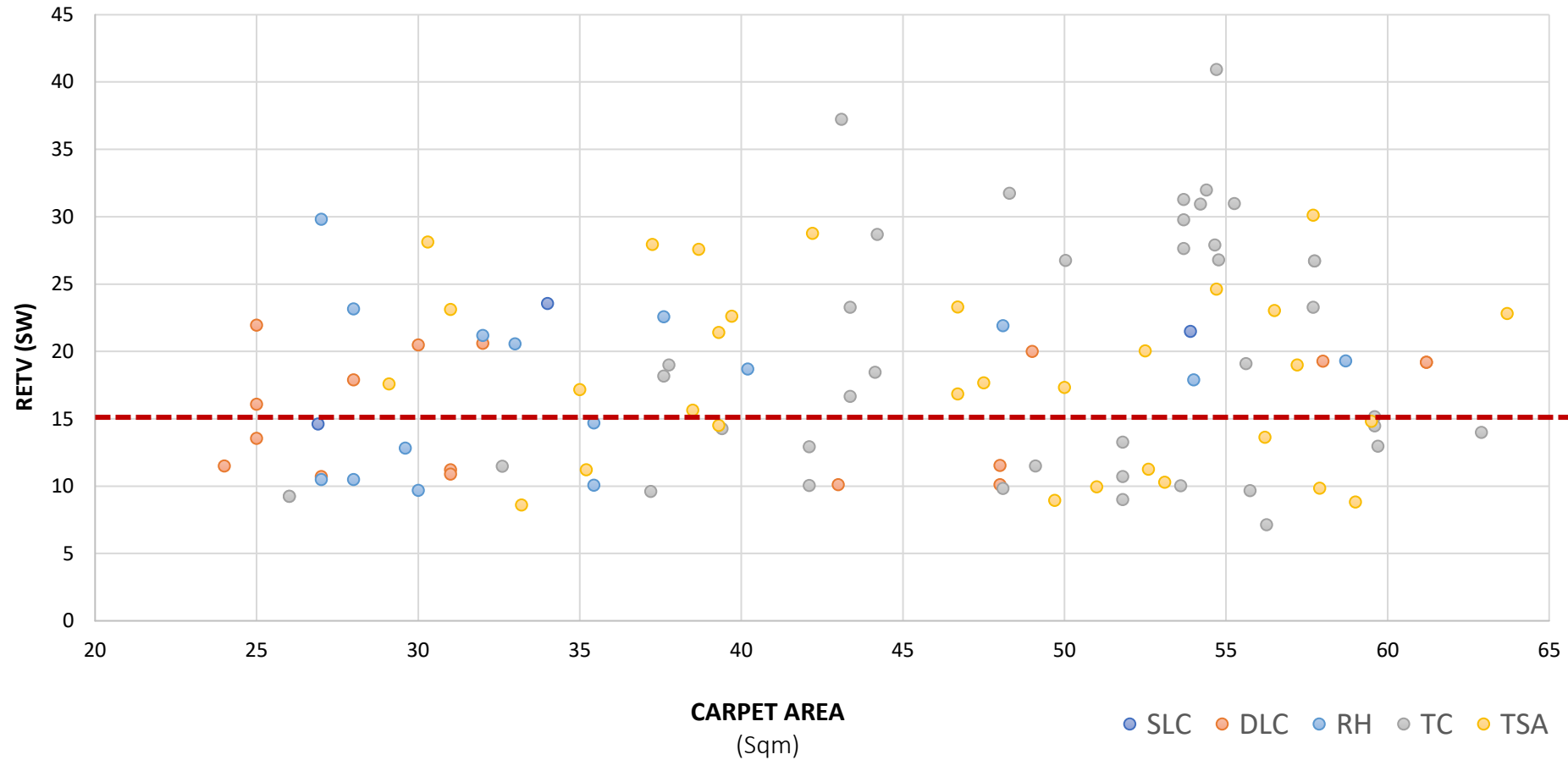
•RETV has been adopted as an energy efficiency measure for the Eco Niwas Samhita-R (ENS) code for residential building design.



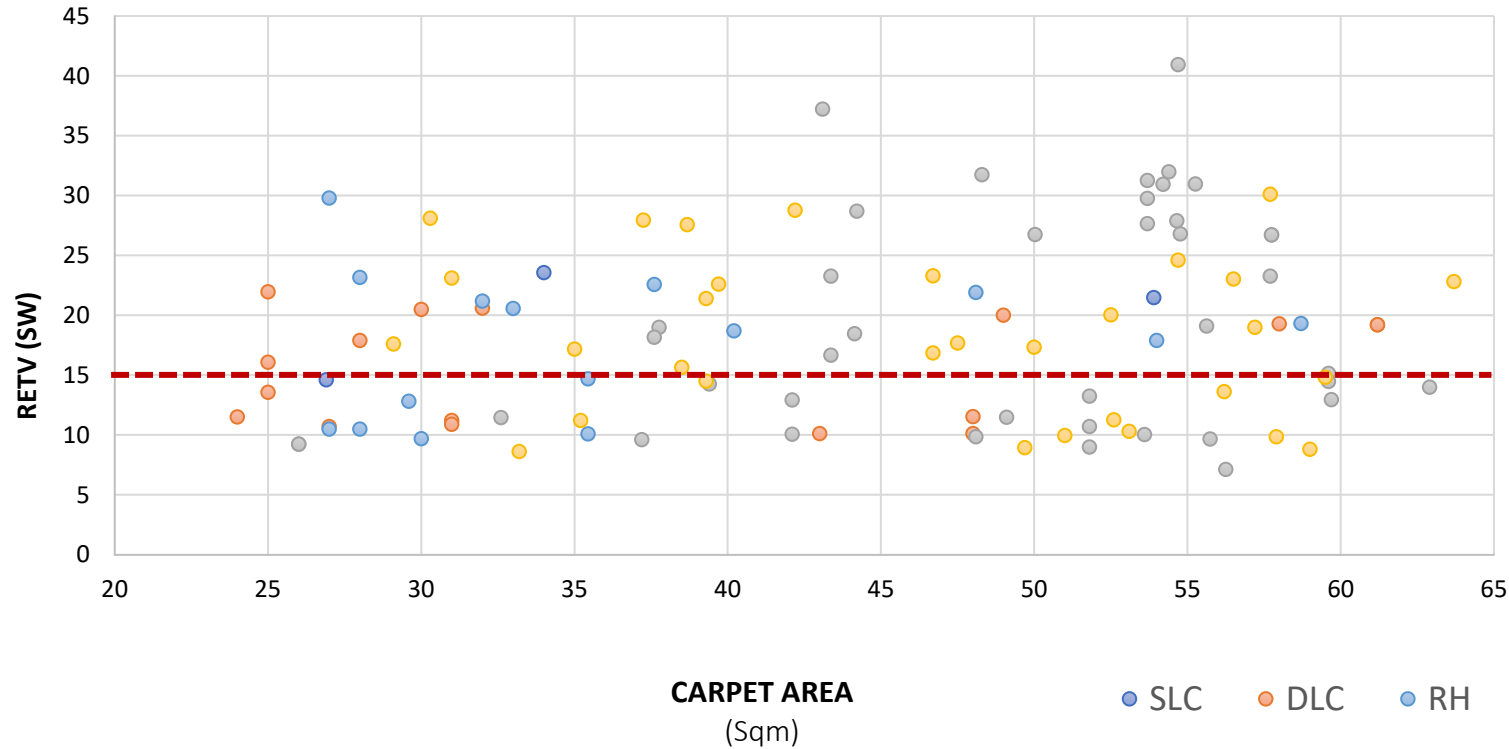
*An **RETV of 15** is considered as the maximum compliance value for warm climates



- It is observed that if ideal orientation of the longer facade facing N/S is considered, **RETV performance shows good results in most cases.**
- However, it is seen that in the case of **TC and TSA** building plan types **RETV of 15 is not met by approximately half the projects**



*An RETV of 15 is considered as the maximum compliance value

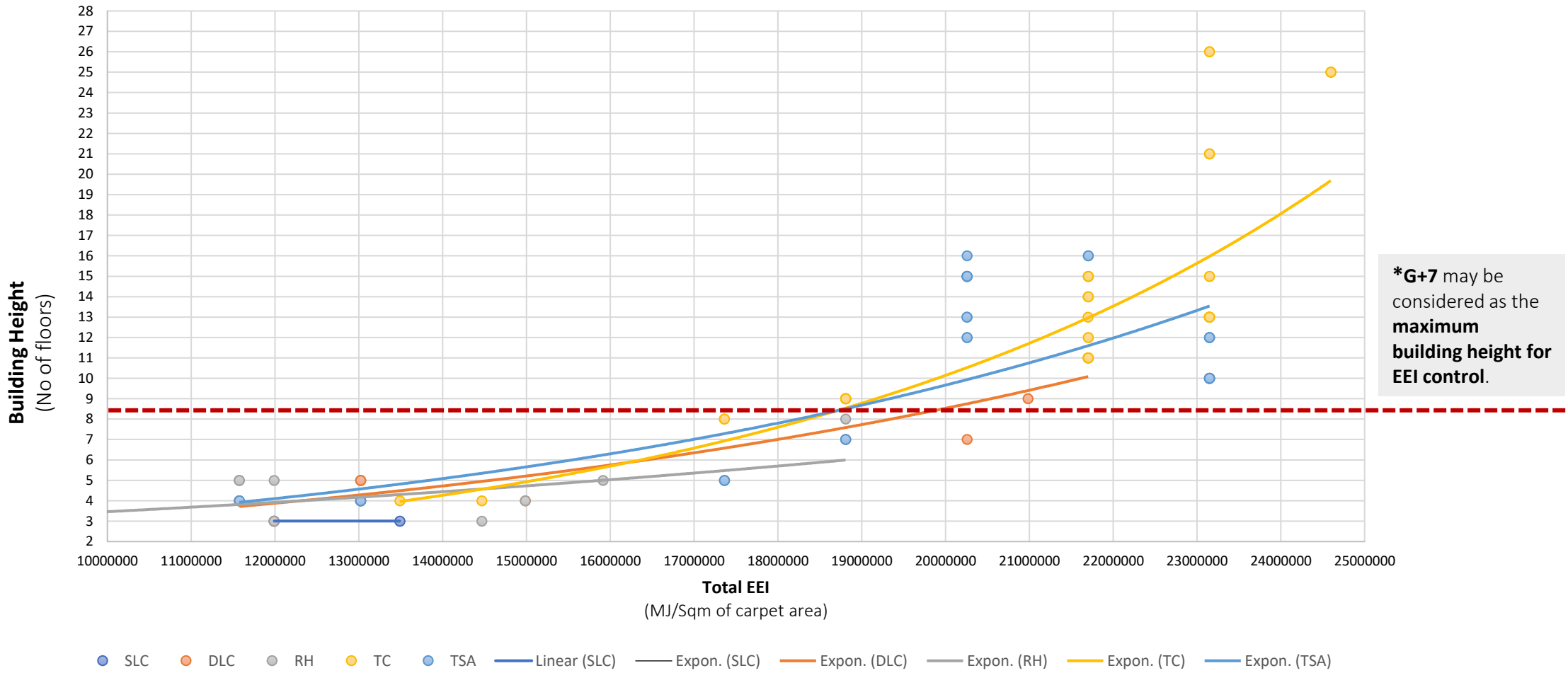


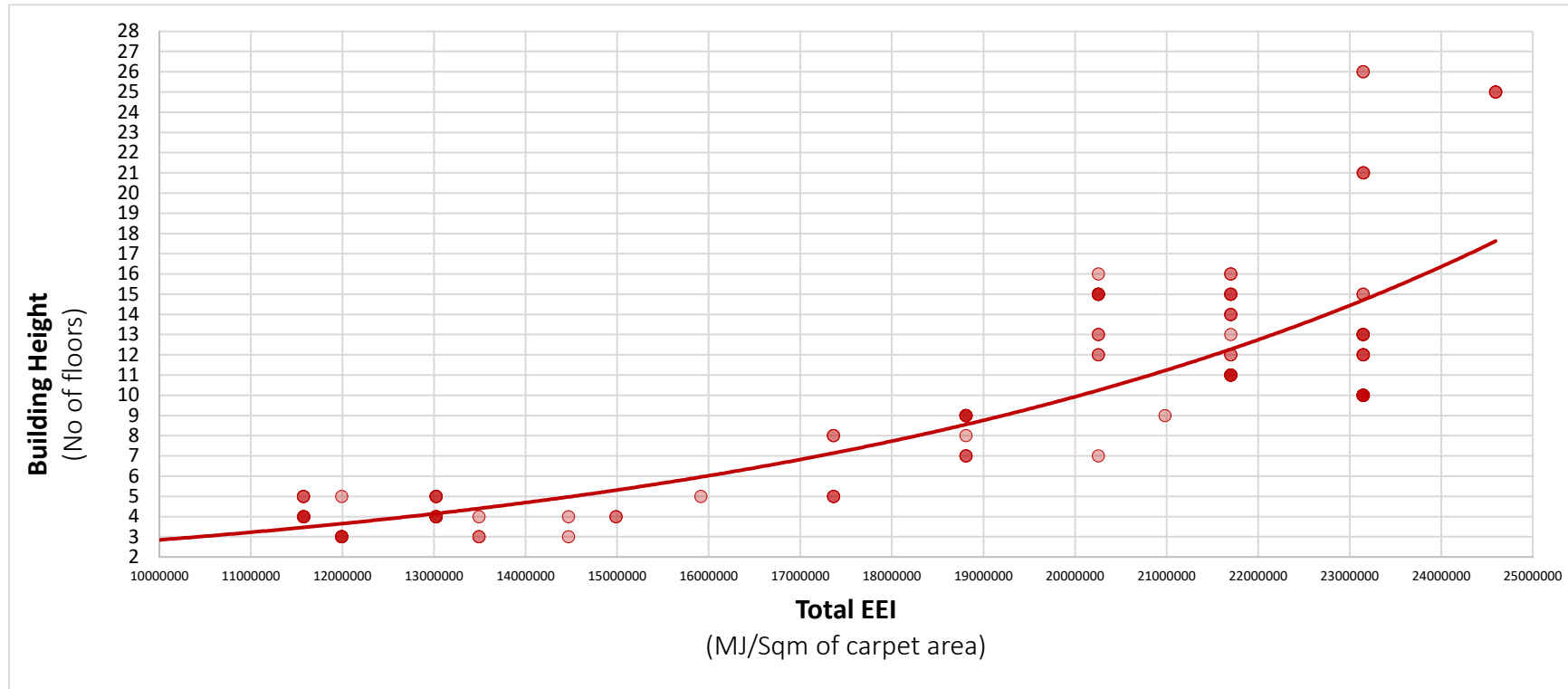
- When considering the worst case **SW orientation** for the main facade, the **RETV performance declines significantly.**
- The **TC and TSA** projects have the **worst RETV performance** between **a range of 20 and 32.**

• Embodied energy intensity (EEI) is measured as embodied energy of structural system and external walling per sqm of DU Carpet area.

• EEI will be the dominant source of CO2 emissions in affordable housing. This becomes very important given the large scale of housing anticipated in

• EEI is analyzed in relation to building height. Low EEI will be preferred.





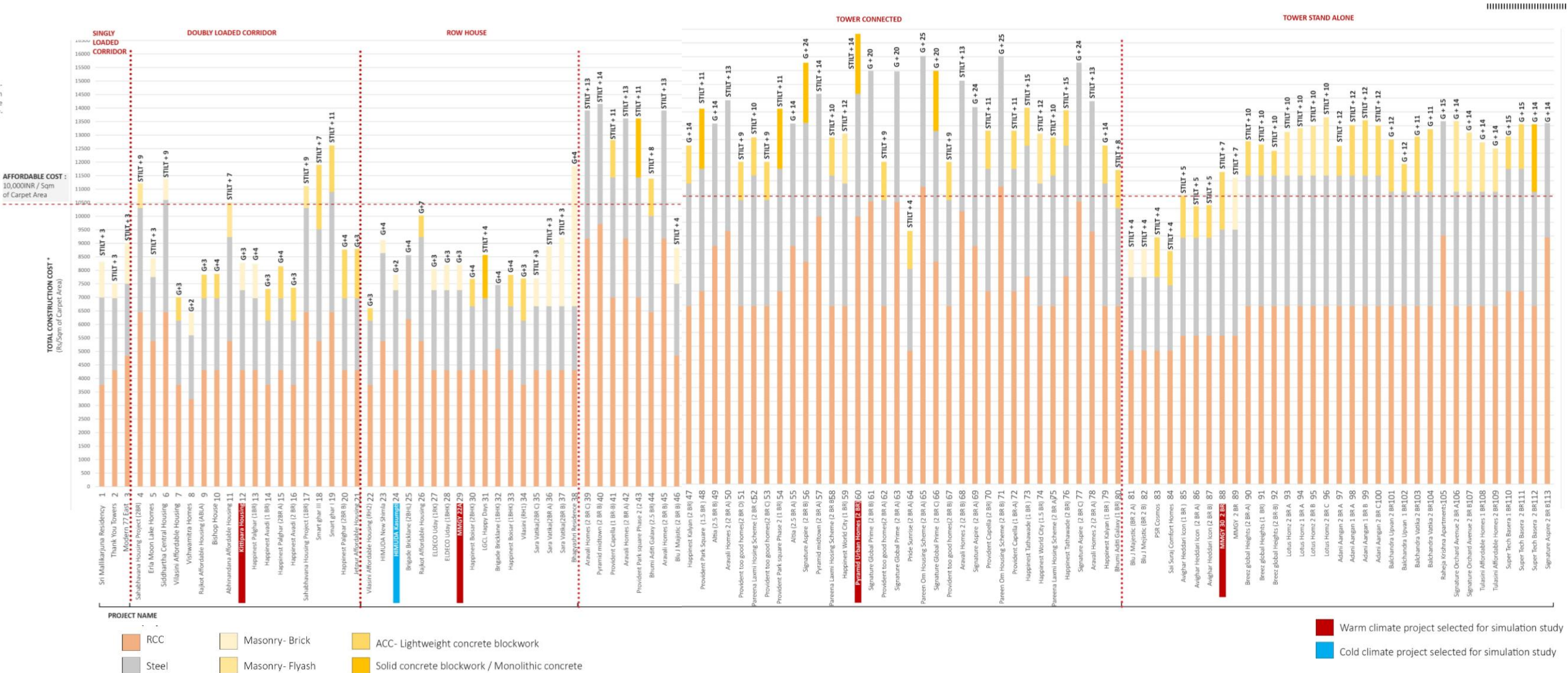
- It can be seen clearly that embodied energy intensity (**EEI**) rises significantly with **increase in building height**.
- It is seen that **EEI rises steeply** as we **move towards taller buildings**. It can be seen that the building height may be limited to **G+7 for EEI control**.

- Affordability of construction system is an important concern for affordable housing.

- Cost of construction systems is primarily determined by the structural system (RCC & Steel) employed and the external walling material.

- Construction cost of these two components per sqm of carpet area is proposed as a comparative measure to understand cost trends in relation to building height and building plan type.

TOTAL COST OF CONSTRUCTION = COST/Sqm carpet area RCC + COST/Sqm carpet area Steel + COST/Sqm carpet area Walling ONLY



*Does not include cost of finishes and secondary building components (doors ,windows and cabinets)

- **Affordability** of building construction is **directly proportional to building height** and the **construction technology** adopted.
- It is observed that **monolithic concrete** technology is **the most expensive cost/Sqm carpet area**.
- **Low to mid-rise buildings** remain **reasonably affordable** at a price of approximately **10,000 INR /Sqm of carpet area**.
- It is seen that **steel** contributes approximately **45-55% of the total building cost** and **concrete** about **35-40% remaining cost**.

COMPACTNESS

For smaller homes (25-45Sqm) it would be **advisable to adopt SLC, DLC and RH** since it is easier to design them compactly.

RETV

Approximately **60% projects do not meet RETV** requirements.

This clearly indicates that **buildings are not being designed with respect to orientation** which indicates the **need for external shading system and window sizing** with response to orientation.

EMBODIED ENERGY

For building heights **beyond G+7**, the **EEI value rises sharply**. This is **most evident in the high-rise tower typologies**

COST OF CONSTRUCTION

The **cost of taller buildings rises with height**. This may be **correlated with the increase in the quantity of steel** consumed in the structural system of taller buildings.

Building **height of up to G+7** which curtail the intensity of steel **would be a positive and productive strategy** to maintain construction **affordability**.

End of Session 1

Points discussed

1. WP1 Documentation divided into two parts:

- General trends of affordable housing construction
- Prevalent gaps in design / construction practices in adopting strategies for thermal comfort

2. Survey dataset

1. Climatic range
2. Building height range
3. Building plan type range
4. Construction technology range

3. General trends of affordable housing construction

- Building plan types are **not climate specific**
- **From the dataset** : Most prevalent building plan type is **tower connected**, most prevalent construction technology is **RCC with AAC** and most prevalent building height range is **high-rise**

4. Criteria for gap analysis

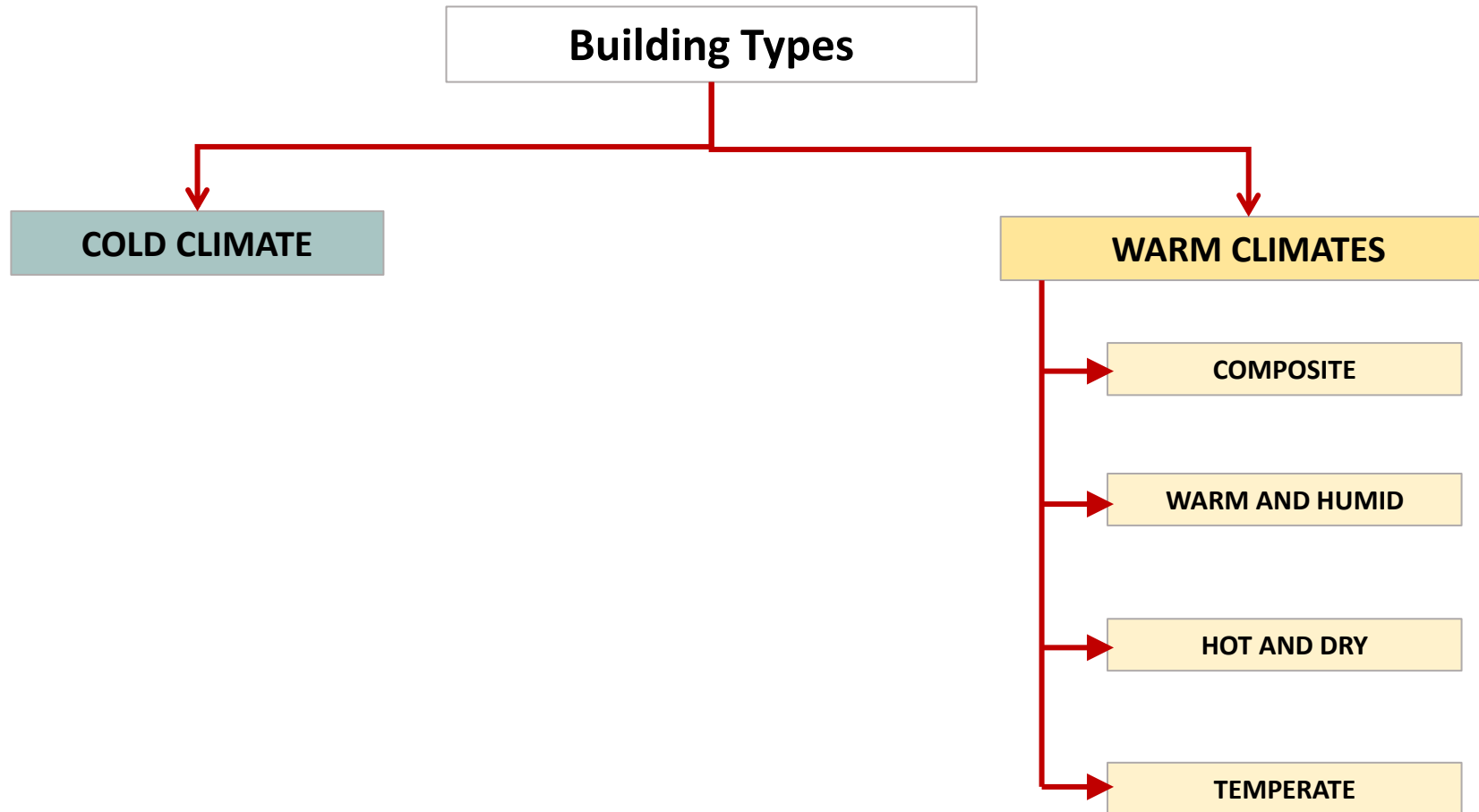
1. Passive strategies
2. Thermal comfort simulation
3. Embodied energy Intensity
4. Construction cost trends

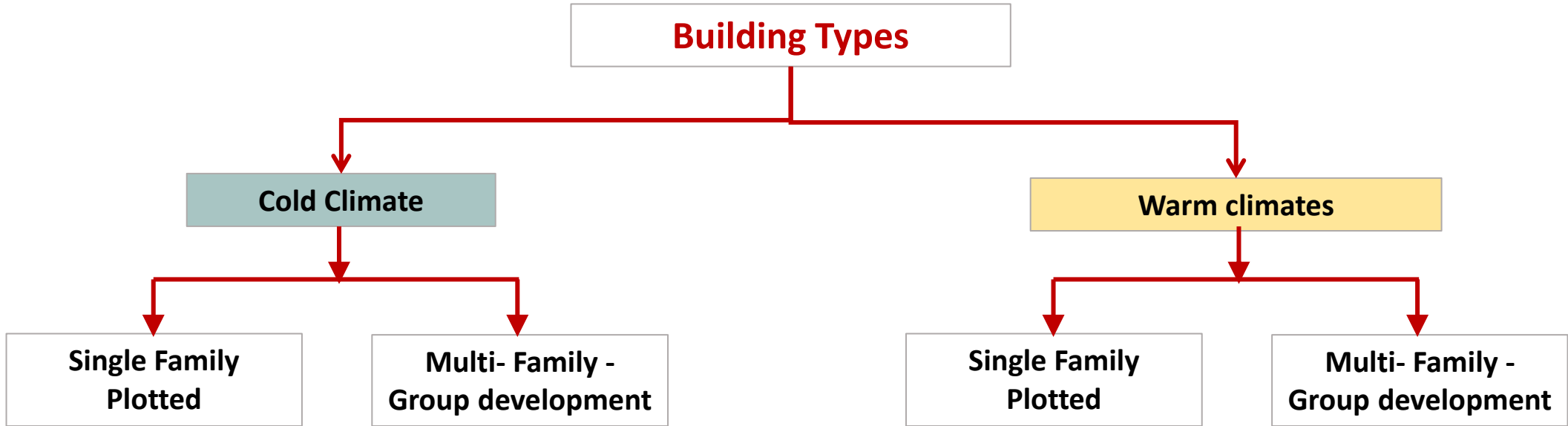
5. Gap analysis inferences

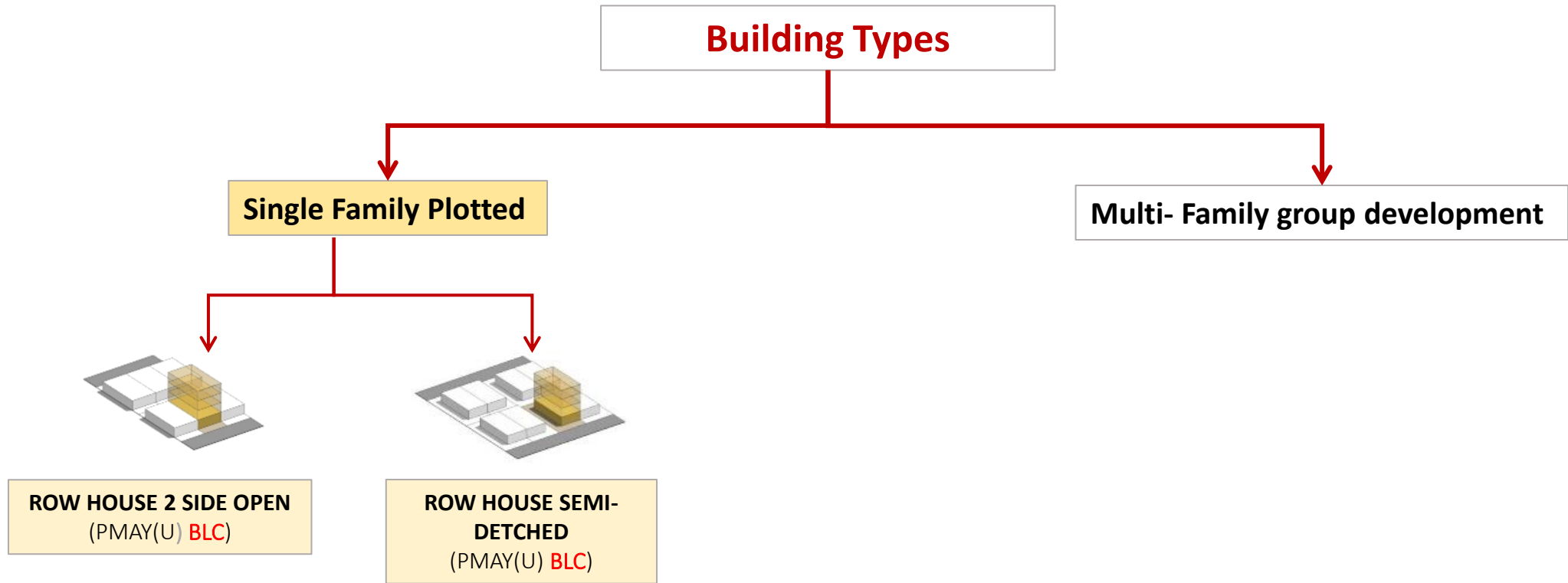
1. Compactness ratio of 0.8
2. Orientation and shading to improve RETV performance
3. Building up to G+7 for better EEI control
4. Reducing quantity of steel and building up to G+7 as positive strategies for affordability

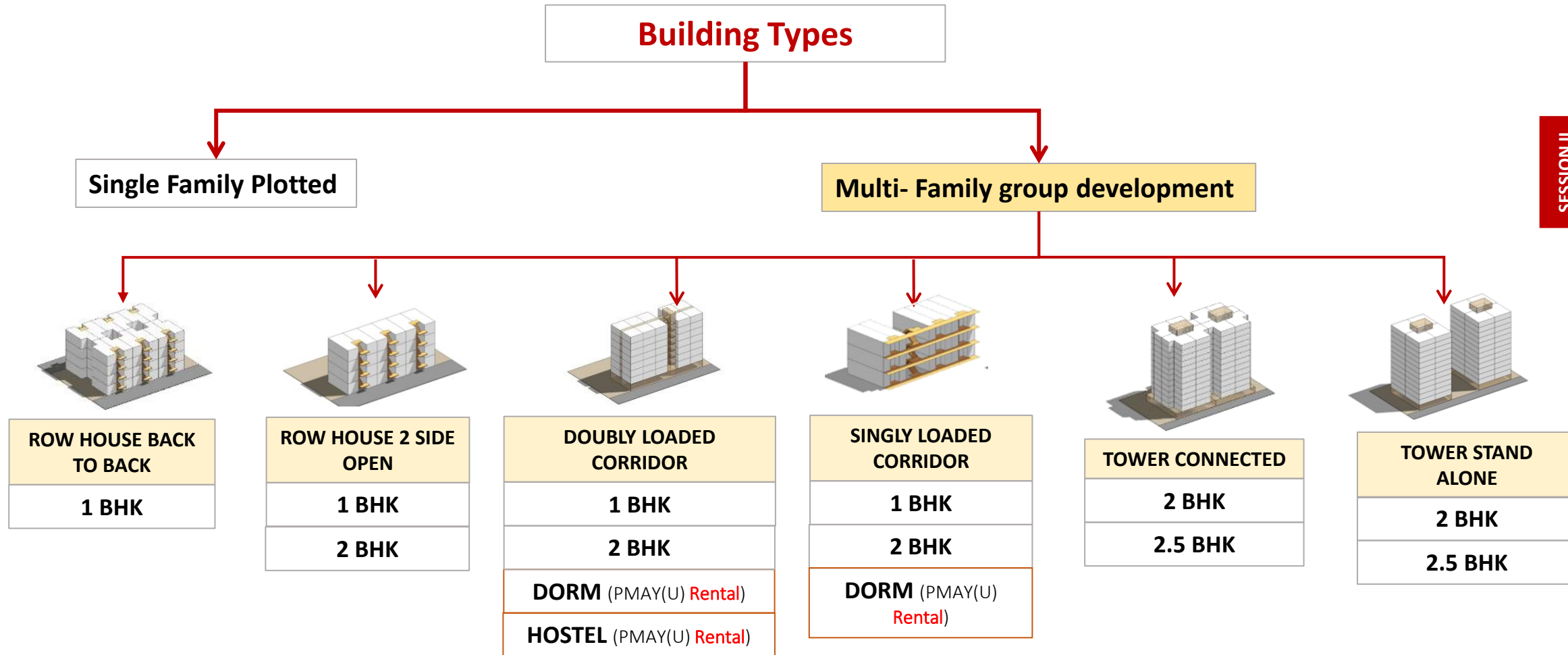
SESSION II

Framework for development of type designs









Replicable Type Design Matrix

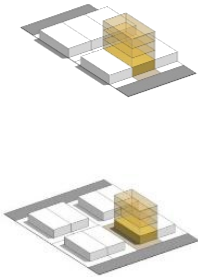
Single Family Plotted

Multi- Family group development

LOW RISE

(BUILDING HEIGHT \leq 12M (G+3))

ROW HOUSE – 2 SIDE OPEN	1BHK	1BHK	2BHK	2BHK
ROW HOUSE SEMI- DETACHED			2BHK	2BHK



Selected type designs will –

- Consider 2 construction technologies
- 2 orientations (best and worst)

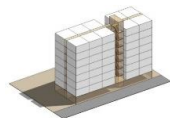
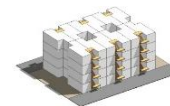
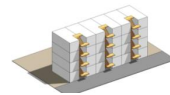
Replicable Type Design Matrix

Single Family Plotted

Multi- Family group development

Selected multi-family type designs will –

- **Consider 3 construction technologies**
- **2 orientations**
(best and worst)
- **2 Vertical locations**
(Middle and Bottom)
- **2 Horizontal locations**
(Edge and Middle)



LOW RISE (BUILDING HEIGHT <=12M(G+3))					
ROW HOUSE – 2 SIDE OPEN	1BHK (<= 30SQM)	1BHK (30-45SQM)	1BHK (30-45SQM)	2BHK (30-45SQM)	2BHK (45-60SQM)
ROW HOUSE BACK TO BACK	1BHK (<= 30SQM)				
SINGLY LOADED CORRIDOR	1BHK (<= 30SQM)	DORM 1 (<= 30SQM)	1BHK (30-45SQM)		
DOUBLY LOADED CORRIDOR	1BHK (<= 30SQM)	DORM 2 (<= 30SQM)	HOSTEL (<= 30SQM)		

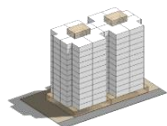
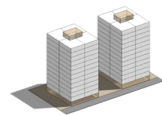
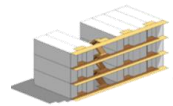
Replicable Type Design Matrix

Single Family Plotted

Multi- Family group development

Selected multi-family type designs will –

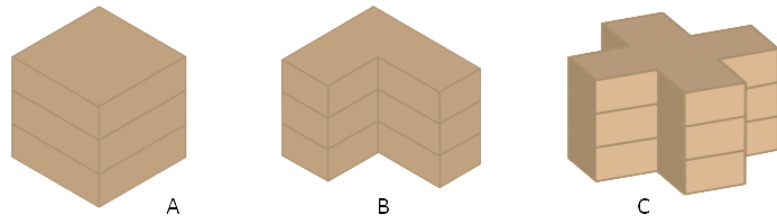
- **Consider 3 construction technologies**
- **2 orientations**
(best and worst)
- **2 Vertical locations**
(Middle and Bottom)
- **2 Horizontal locations**
(Edge and Middle)



MID RISE (BUILDING HEIGHT <=15-24M(G+4 – G+7))				
SINGLY LOADED CORRIDOR	2BHK (45-60SQM)			
DOUBLY LOADED CORRIDOR	1BHK (<= 30-45SQM)	2BHK (30-45SQM)		
TOWER STAND ALONE	1BHK (45-60SQM)	2BHK (45-60SQM)	2BHK (45-60SQM)	2.5BHK (45-60SQM)
TOWER CONNECTED	2BHK (45-60SQM)	2.5BHK (45-60SQM)		

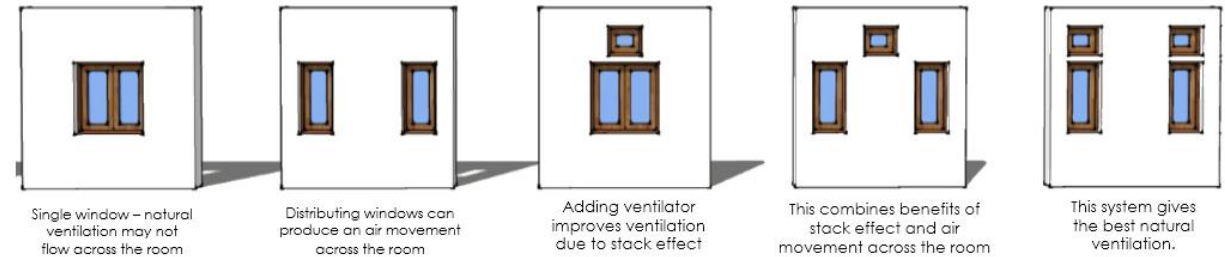
1. COMPACTNESS

Surface to Volume ratio increase from A to C as the built form gets more complicated



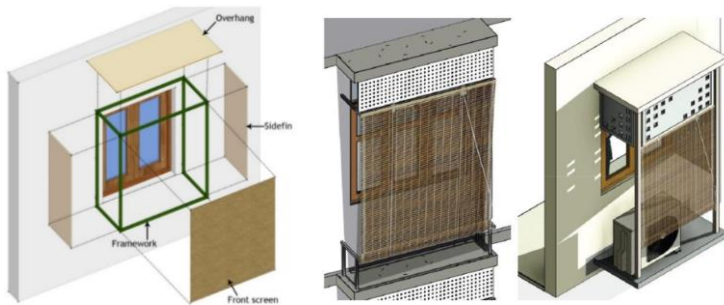
2. PROTECTION FROM HEAT

- Controlling Window to Wall area Ratio (WWR)
- Selecting external wall/roof materials for insulation value



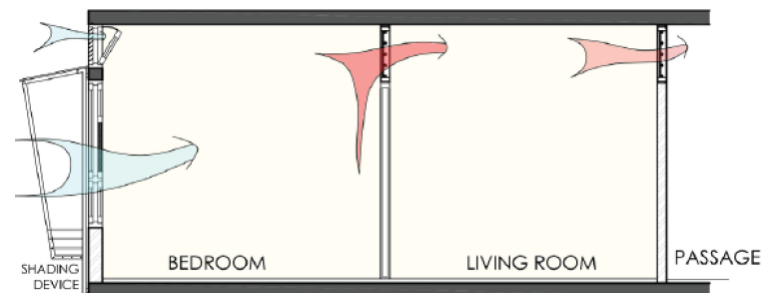
3. PROTECTION THROUGH SHADING

Use of shading devices to cut Solar gains

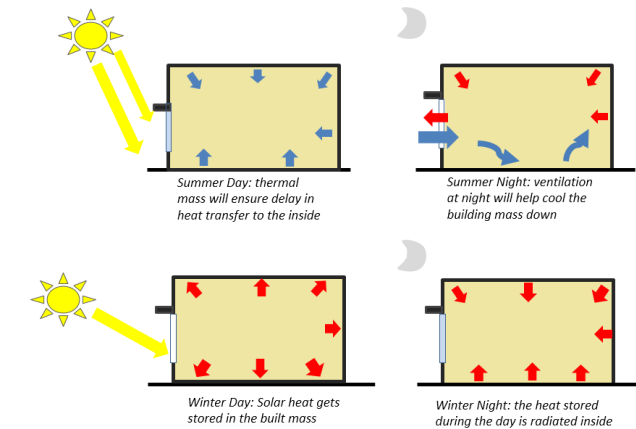


4. OPTIMIZING OPENINGS FOR VENTILATION

Ensuring cross ventilation through all living spaces of the house



5. USE OF THERMAL MASS & SOLAR GAINS TO ENSURE INDOOR COMFORT



End of Session 2

Points discussed

1. Climate classification

1. Warm climates
2. Cold climate

2. Building Plan types

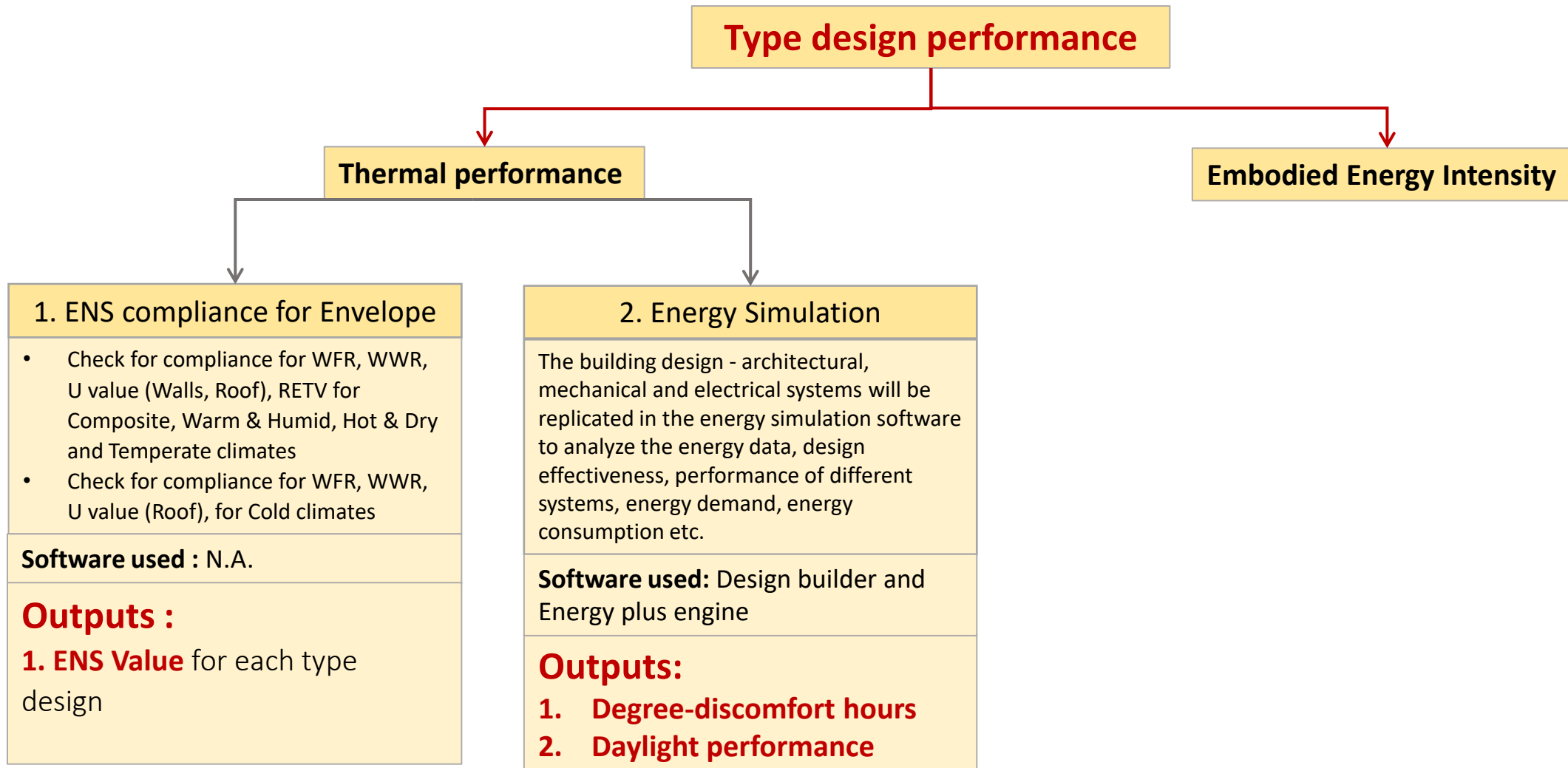
1. Single family plotted
2. Multi family group development

3. Principles for planning and passive design for thermally comfortable affordable housing

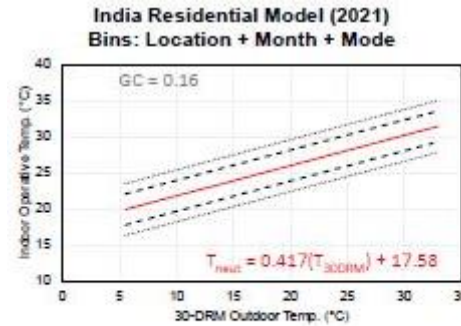
1. Compactness
2. Protection from heat
3. Protection through shading
4. Optimizing openings for ventilation
5. Use of thermal mass and solar gains to ensure indoor comfort

SESSION III

Type design overview of Thermal Performance and
Carbon Footprint of Construction



- Energy simulation is carried out in **Design Builder software** and detailed modelling is carried out in the **Energy plus engine**.



80% Acceptability at ± 3.60 °C
90% Acceptability at ± 2.15 °C

Source: CARBSE, CEPT, 2022 (unpublished)

- Detailed inputs in terms of **number floors, building geometry, Envelope details, and internal loads** are provided in the simulation software. Detailed **natural ventilation modelling** is carried out in Energy plus.

- The **schedule of occupancy is considered based on general practice in Indian household. No. of occupants are taken from NBC standards** varies based on 1BHK/2BHK/3BHK.

- **IMAC-R will be used as the setpoint temperature.** For window operating schedules NV is considered as the upper and lower limit.

- **Lighting assumption** for Baseline case study models is **4 W/m² LPD**.

- Equipment is considered as **BEE 3-star equipment**, default from BEE Star Labelling for Residential buildings).

SESSION III: A) Thermal Performance variants –DU location, orientation and walling/roofing material

LOCATION

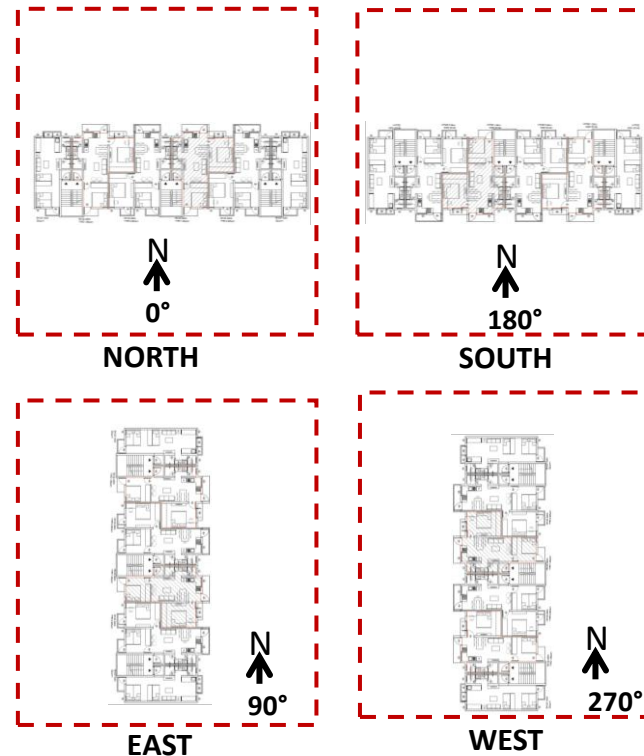
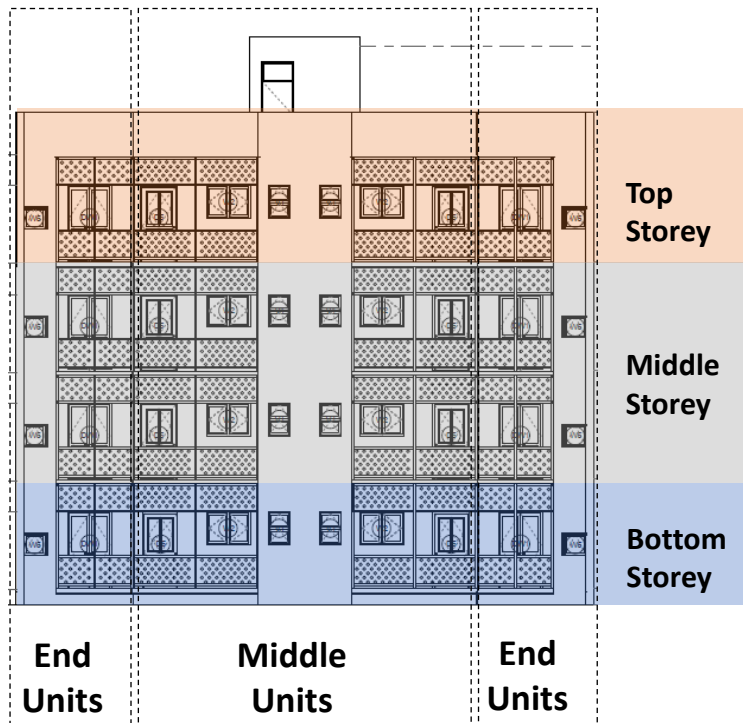
- **VERTICAL**
Ground, Middle and Top floor
- **HORIZONTAL**
Edge and middle units

ORIENTATION

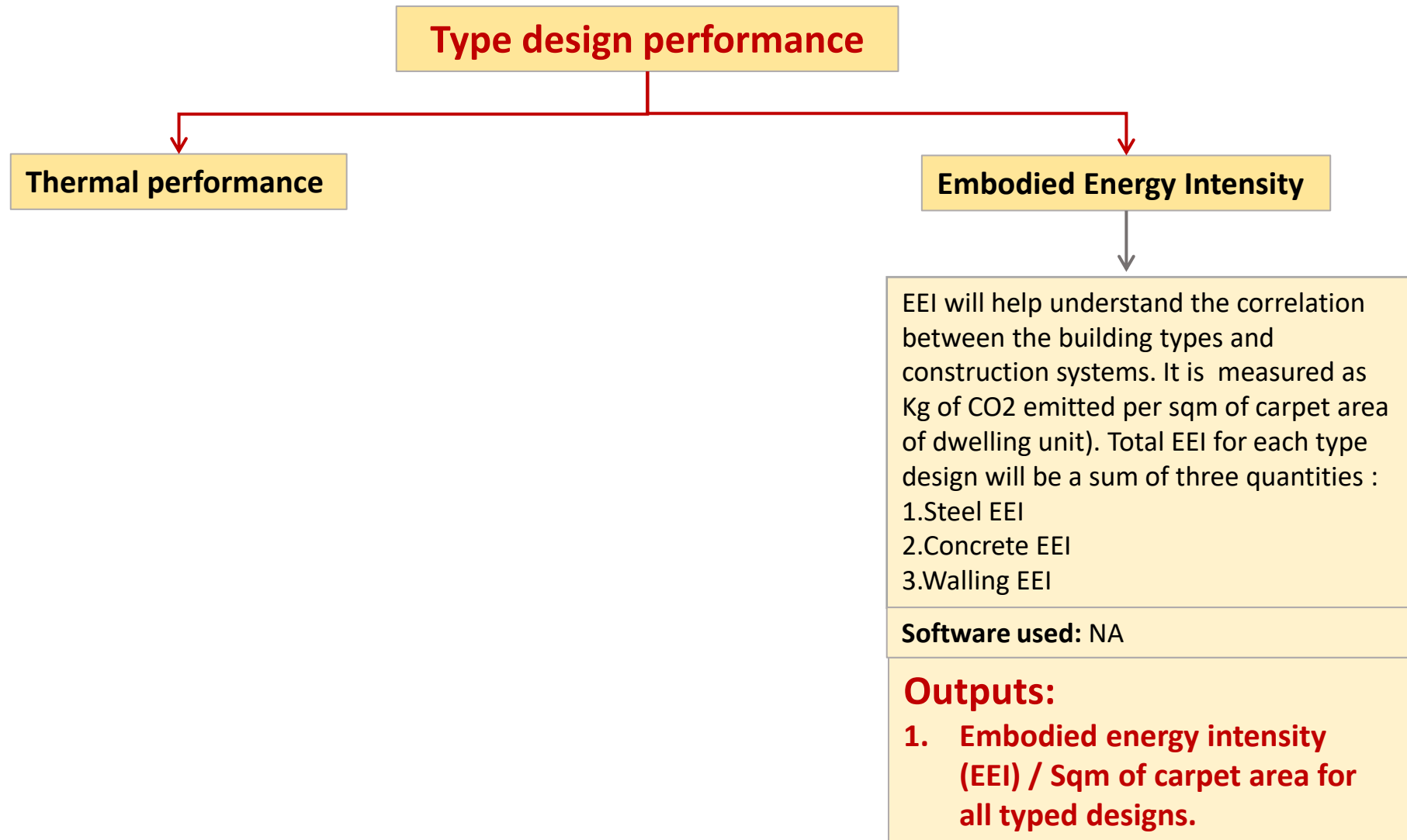
- The variants are in turn tested for **different orientations** with respect to true North.
- The proposed type designs will be such that all unit variants meet the minimum standards of thermal performance.

WALLING / ROOFING MATERIAL

- The variants are in turn tested for **different orientations** with respect to true North.



Simulation results will be given for 1000 cases.

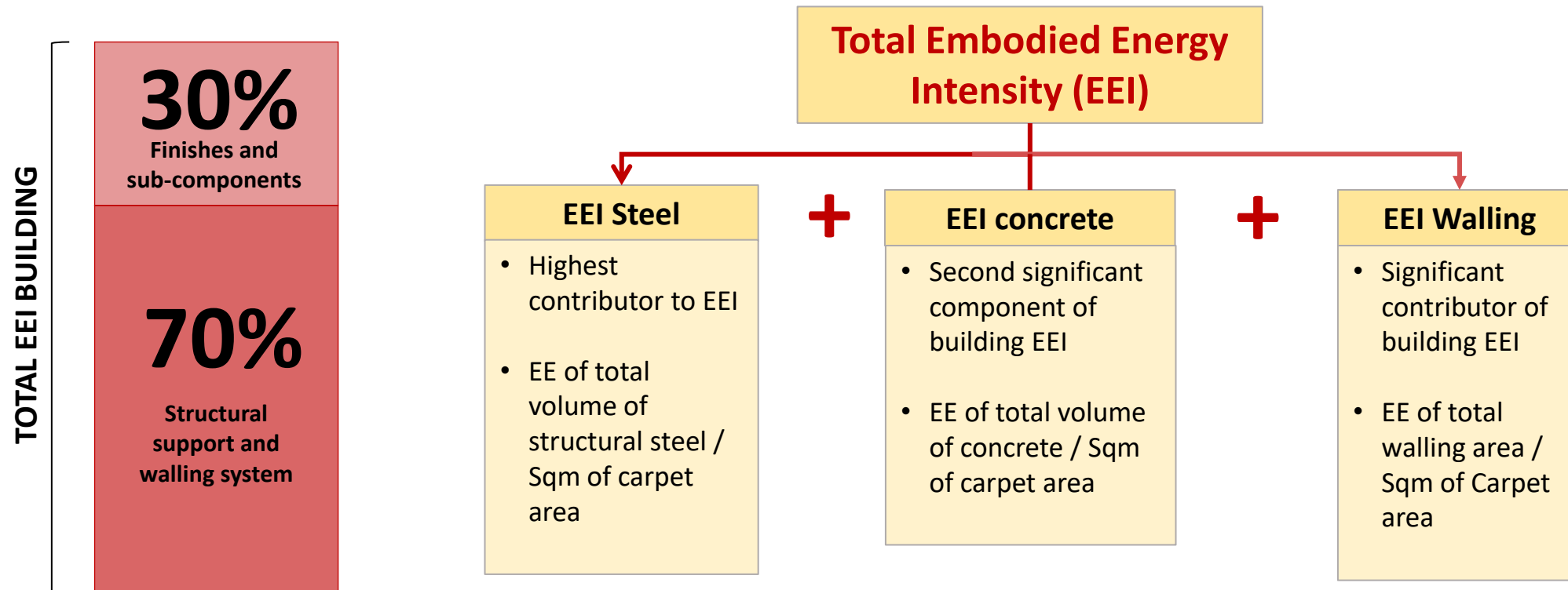


SESSION III: Embodied Energy Intensity (EEI) and carbon footprint of construction

- The EEI is measured as **Kg of CO2 emitted per sqm of carpet area** of dwelling unit

- Depends on type of structural system, building height and earthquake zone location

- Calculating the EEI will **allow comparative observations of the type designs**, construction technologies and building height



End of Session 3

Points discussed

1. Key parameters for analyzing type design performance

- **Thermal performance** - ENS Compliance for envelope, Energy simulation
- **Embodied energy intensity** – Total EEI = Steel EEI + Concrete EEI + Walling / Infill material EEI

2. Methodology for simulation

3. Thermal Performance variants

- Location
- Orientation
- Walling / Roofing material

4. Embodied Energy Intensity and carbon footprint of construction

WEBINAR 1

- Overview of existing design and construction practices to identify gaps in achieving optimal thermal comfort
- Framework for development of type designs
- Overview of thermal performance and carbon footprint of construction

WEBINAR 2

- Range and size of type designs
- Logic and methods of planning and construction of the type designs
- Passive design strategies that have been adopted
- Methodology and input parameters for the simulation models and results obtained

WEBINAR 3

- Discussing the simulation results of different building plan typologies to understand their thermal performance.
- Thermal performance results across different climate zones and trends observed in different orientations
- General observations and learnings from the project with examples and comparisons across different typologies.

WEBINAR 4

- Webtool structure and layout
- Navigating the web-tool



THANK YOU

Knowledge Partners:



Ashok B Lall Architects



LEAD Consultancy



Greentech Knowledge Solutions

